



## NEGEN CAPITAL SERVICES PRIVATE LIMITED

### Complaints Data for Portfolio Manager – SEBI Registration No. INP000005414

| Data for the month ending July 2026 |                         |                                  |          |          |               |                               |                                    |
|-------------------------------------|-------------------------|----------------------------------|----------|----------|---------------|-------------------------------|------------------------------------|
| Sr. No.                             | Received from           | Pending at the end of last month | Received | Resolved | Total Pending | Pending complaints > 3 months | Average Resolution time^ (in days) |
| 1.                                  | Directly from Investors | 0                                | 0        | 0        | 0             | 0                             | 0                                  |
| 2.                                  | SEBI (SCORES)           | 0                                | 0        | 0        | 0             | 0                             | 0                                  |
| 3.                                  | Other Sources (if any)  | 0                                | 0        | 0        | 0             | 0                             | 0                                  |
|                                     | <b>Grand Total</b>      | <b>0</b>                         | <b>0</b> | <b>0</b> | <b>0</b>      | <b>0</b>                      | <b>0</b>                           |

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

| Trend of monthly disposal of complaints |                    |                                     |          |           |          |
|-----------------------------------------|--------------------|-------------------------------------|----------|-----------|----------|
| Sr. No                                  | Month              | Carried forward from previous month | Received | Resolved* | Pending# |
| 1.                                      | July 2025          | 0                                   | 0        | 0         | 0        |
| 2.                                      | August 2025        | 0                                   | 0        | 0         | 0        |
| 3.                                      | September 2025     | 0                                   | 0        | 0         | 0        |
| 4.                                      | October 2025       | 0                                   | 0        | 0         | 0        |
| 5.                                      | November 2025      | 0                                   | 0        | 0         | 0        |
| 6.                                      | December 2025      | 0                                   | 0        | 0         | 0        |
| 7.                                      | January 2026       | 0                                   | 0        | 0         | 0        |
| 8.                                      | February 2026      | 0                                   | 0        | 0         | 0        |
| 9.                                      | March 2026         | 0                                   | 0        | 0         | 0        |
| 10.                                     | April 2026         | 0                                   | 0        | 0         | 0        |
| 11.                                     | May 2026           | 0                                   | 0        | 0         | 0        |
| 12.                                     | June 2026          | 0                                   | 0        | 0         | 0        |
|                                         | <b>Grand Total</b> | <b>0</b>                            | <b>0</b> | <b>0</b>  | <b>0</b> |

\*Inclusive of the complaints of previous months resolved in the current month.

#Inclusive of the complaints pending as on the last day of the month.



## NEGEN CAPITAL SERVICES PRIVATE LIMITED

### Trend of annual disposal of complaints

| Sr. No.     | Year      | Carried forward from previous year | Received | Resolved** | Pending## |
|-------------|-----------|------------------------------------|----------|------------|-----------|
| 1.          | 2017-2018 | 0                                  | 0        | 0          | 0         |
| 2.          | 2018-2019 | 0                                  | 0        | 0          | 0         |
| 3.          | 2019-2020 | 0                                  | 0        | 0          | 0         |
| 4.          | 2020-2021 | 0                                  | 0        | 0          | 0         |
| 5.          | 2021-2022 | 0                                  | 0        | 0          | 0         |
| 6.          | 2022-2023 | 0                                  | 0        | 0          | 0         |
| 7.          | 2023-2024 | 0                                  | 0        | 0          | 0         |
| 8.          | 2024-2025 | 0                                  | 0        | 0          | 0         |
| 9.          | 2025-2026 | 0                                  | 0        | 0          | 0         |
| Grand Total |           | 0                                  | 0        | 0          | 0         |

\*\*Inclusive of complaints of previous years resolved in the current year.

## Inclusive of complaints pending as on the last day of the year.

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