



## NEGEN CAPITAL SERVICES PRIVATE LIMITED

### Complaints Data for Portfolio Manager – SEBI Registration No. INP000005414

| Data for the month ending May 2025 |                         |                                  |          |          |               |                               |                                    |
|------------------------------------|-------------------------|----------------------------------|----------|----------|---------------|-------------------------------|------------------------------------|
| Sr. No.                            | Received from           | Pending at the end of last month | Received | Resolved | Total Pending | Pending complaints > 3 months | Average Resolution time^ (in days) |
| 1.                                 | Directly from Investors | 0                                | 0        | 0        | 0             | 0                             | 0                                  |
| 2.                                 | SEBI (SCORES)           | 0                                | 0        | 0        | 0             | 0                             | 0                                  |
| 3.                                 | Other Sources (if any)  | 0                                | 0        | 0        | 0             | 0                             | 0                                  |
|                                    | <b>Grand Total</b>      | <b>0</b>                         | <b>0</b> | <b>0</b> | <b>0</b>      | <b>0</b>                      | <b>0</b>                           |

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

| Trend of monthly disposal of complaints |                    |                                     |          |           |          |
|---|--------------------|-------------------------------------|----------|-----------|----------|
| Sr. No                                  | Month              | Carried forward from previous month | Received | Resolved* | Pending# |
| 1.                                      | May 2024           | 0                                   | 0        | 0         | 0        |
| 2.                                      | June 2024          | 0                                   | 0        | 0         | 0        |
| 3.                                      | July 2024          | 0                                   | 0        | 0         | 0        |
| 4.                                      | August 2024        | 0                                   | 0        | 0         | 0        |
| 5.                                      | September 2024     | 0                                   | 0        | 0         | 0        |
| 6.                                      | October 2024       | 0                                   | 0        | 0         | 0        |
| 7.                                      | November 2024      | 0                                   | 0        | 0         | 0        |
| 8.                                      | December 2024      | 0                                   | 0        | 0         | 0        |
| 9.                                      | January 2025       | 0                                   | 0        | 0         | 0        |
| 10.                                     | February 2025      | 0                                   | 0        | 0         | 0        |
| 11.                                     | March 2025         | 0                                   | 0        | 0         | 0        |
| 12.                                     | April 2025         | 0                                   | 0        | 0         | 0        |
|   | <b>Grand Total</b> | <b>0</b>                            | <b>0</b> | <b>0</b>  | <b>0</b> |

\*Inclusive of the complaints of previous months resolved in the current month.

#Inclusive of the complaints pending as on the last day of the month.



## NEGEN CAPITAL SERVICES PRIVATE LIMITED

| Trend of annual disposal of complaints |                    |                                    |          |            |           |
|--|--------------------|------------------------------------|----------|------------|-----------|
| Sr. No                                 | Year               | Carried forward from previous year | Received | Resolved** | Pending## |
| 1.                                     | 2017-18            | 0                                  | 0        | 0          | 0         |
| 2.                                     | 2018-19            | 0                                  | 0        | 0          | 0         |
| 3.                                     | 2019-20            | 0                                  | 0        | 0          | 0         |
| 4.                                     | 2020-21            | 0                                  | 0        | 0          | 0         |
| 5.                                     | 2021-22            | 0                                  | 0        | 0          | 0         |
| 6.                                     | 2022-23            | 0                                  | 0        | 0          | 0         |
| 7.                                     | 2023-24            | 0                                  | 0        | 0          | 0         |
| 8.                                     | 2024-25            | 0                                  | 0        | 0          | 0         |
|  | <b>Grand Total</b> | <b>0</b>                           | <b>0</b> | <b>0</b>   | <b>0</b>  |

\*\*Inclusive of complaints of previous years resolved in the current year.

## Inclusive of complaints pending as on the last day of the year.

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