## <u>Complaint Data Negen Capital PMS – October 2024</u> <u>INP000005414</u>

## Data for the month ending – November 2024

| Sr.<br>No. | Received<br>from              | Pending<br>at the<br>end of<br>last | Received | Resolved* | Total<br>Pending# | Pending complaints > 3months | Average<br>Resolution<br>time^ (in<br>days) |
|------------|-------------------------------|-------------------------------------|----------|-----------|-------------------|------------------------------|---|
| 1          | Directly<br>from<br>Investors | 0                                   | 0        | 0         | 0                 | 0                            | 0   |
| 2          | SEBI<br>(SCORES)              | 0                                   | 0        | 0         | 0                 | 0                            | 0   |
| 3          | Other<br>Sources<br>(if any)  | 0                                   | 0        | 0         | 0                 | 0                            | 0   |
|            | Grand<br>Total                | 0                                   | 0        | 0         | 0                 | 0                            | 0   |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints

| Sr. | Month              | Carried forward from | Received | Resolved* | Pending# |
|-----|--------------------|----------------------|----------|-----------|----------|
| No. |                    | previous month       |          |           |          |
| 1   | April, 2024        | NIL                  | NIL      | NIL       | NIL      |
| 2   | May, 2024          | NIL                  | NIL      | NIL       | NIL      |
| 3   | June, 2024         | NIL                  | NIL      | NIL       | NIL      |
| 4   | July, 2024         | NIL                  | NIL      | NIL       | NIL      |
| 5   | August, 2024       | NIL                  | NIL      | NIL       | NIL      |
| 6   | September,<br>2024 | NIL                  | NIL      | NIL       | NIL      |
| 7   | October, 2024      | NIL                  | NIL      | NIL       | NIL      |
| 8   | November,<br>2024  | NIL                  | NIL      | NIL       | NIL      |

<sup>\*</sup>Inclusive complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

## Trend of annual disposal of complaints

| Sr. No. | Year               | Carried forward<br>from previous<br>year | Received | Resolved*<br>* | Pending# # |
|---------|--------------------|--|----------|----------------|------------|
| 1       | 2017-18            | 0  | 0        | 0              | 0          |
| 2       | 2018-19            | 0  | 0        | 0              | 0          |
| 3       | 2019-20            | 0  | 0        | 0              | 0          |
| 4       | 2020-21            | 0  | 0        | 0              | 0          |
| 5       | 2021-22            | 0  | 0        | 0              | 0          |
| 6       | 2022-23            | 0  | 0        | 0              | 0          |
| 7       | 2023-24            | 0  | 0        | 0              | 0          |
| 8       | 2024-25^           | 0  | 0        | 0              | 0          |
|         | <b>Grand Total</b> | 0  | 0        | 0              | 0          |

<sup>\*\*</sup> Inclusive of complaints of previous years resolved in the current year.

## Inclusive of complaints pending as on the last day of the year.

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<sup>^</sup> Till the end of last month of Financial Year 2025.