

Complaint Data Negen Capital PMS – July 2023

Format for investor complaints data to be disclosed by Portfolio Managers on their website on monthly basis:

Data for the month ending – July 2023

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Financial Year 2022	NIL	NIL	NIL	NIL
2	April, 2023	NIL	NIL	NIL	NIL
3	May, 2023	NIL	NIL	NIL	NIL
4	June, 2023	NIL	NIL	NIL	NIL
5	July, 2023	NIL	NIL	NIL	NIL

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0

4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24 (July 2023)	0	0	0	0
	Grand Total	0	0	0	0

** Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.